

Shareholding Pattern under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1 Name of Listed Entity: The Phoenix Mills Limited

2 Scrip Code/Name of Scrip/Class of Security: 503100/PHOENIXLTD /EQUITY

3 Share Holding Pattern Filed under Reg. 31(1)(b) for the Quarter ended September 30, 2018

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The Phoenix Mills Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	12	96210882	0	0	96210882	62.80	96210882	0	96210882	62.80	0	62.80	0	0.00	0	0.00	96210882
(B)	Public	13474	56982469	0	0	56982469	37.20	56982469	0	56982469	37.20	0	37.20	0	0.00	NA	NA	56536698
(C)	Non Promoter - Non Public				0				0			0		0.00	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total	13486	153193351	0	0	153193351	100.00	153193351	0	153193351	100.00	0	100.00	0	0.00	0	0.00	152747580

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity	No. of shares underlying Depository	Total nos. shares held	Shareholding % calculated as per SCRR,	Number of Voting Rights held in each class of			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion	Number of (a)	Number of (a)	Number of equity shares held in dematerialised form	
								Class eg: X	Class eg: Y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)		
1 Indian																	
(a) Individuals / Hindu Undivided Family		7	10001920	0	0	10001920	6.53	10001920	0	10001920	6.53	0	6.53	0	0.00	0	10001920
Ashokkumar Radhakrishna Ruia	AJPR8275M	1	2128504	0	0	2128504	1.39	2128504	0	2128504	1.39	0	1.39	0	0.00	0	2128504
Atul Ashokkumar Ruia	ABHPR8582Q	1	2403501	0	0	2403501	1.57	2403501	0	2403501	1.57	0	1.57	0	0.00	0	2403501
Amla Ashokkumar Ruia	ABVPR7369D	1	2125000	0	0	2125000	1.39	2125000	0	2125000	1.39	0	1.39	0	0.00	0	2125000
Gayatri Atul Ruia	ADQPR3700F	1	1534890	0	0	1534890	1.00	1534890	0	1534890	1.00	0	1.00	0	0.00	0	1534890
Ashokkumar Radhakrishna Ruia (Holding as Trustee on behalf of Atul Ruia Family Trust)	AAGTA3666E	1	765545	0	0	765545	0.50	765545	0	765545	0.50	0	0.50	0	0.00	0	765545
Atul Ashokkumar Ruia (Holding as Trustee on behalf of Ashok Ruia Family Trust)	AAGTA3667F	1	765545	0	0	765545	0.50	765545	0	765545	0.50	0	0.50	0	0.00	0	765545
Sharanya A.Ruia Beneficiary Trust	AADTS0295G	1	278935	0	0	278935	0.18	278935	0	278935	0.18	0	0.18	0	0.00	0	278935
(b) Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(d) Any Other (Specify)		5	86208962	0	0	86208962	56.27	86208962	0	86208962	56.27	0	56.27	0	0.00	0	86208962
Bodies Corporate		5	86208962	0	0	86208962	56.27	86208962	0	86208962	56.27	0	56.27	0	0.00	0	86208962
Ruia International Holding Company Private Limited	AACCB9771C	1	49347248	0	0	49347248	32.21	49347248	0	49347248	32.21	0	32.21	0	0.00	0	49347248
Senior Advisory Services Pvt. Ltd (Formerly known as Senior Holding Pvt. Ltd.)	AAECS7488P	1	15490049	0	0	15490049	10.11	15490049	0	15490049	10.11	0	10.11	0	0.00	0	15490049
Radhakrishna Ramnarain Pvt. Ltd.	AAACR3297H	1	11667800	0	0	11667800	7.62	11667800	0	11667800	7.62	0	7.62	0	0.00	0	11667800
Ashok Apparels Pvt. Ltd.	AABCA1436E	1	9670665	0	0	9670665	6.31	9670665	0	9670665	6.31	0	6.31	0	0.00	0	9670665
Ashton Real Estate Development Pvt. Ltd.	AAFCA7183A	1	33200	0	0	33200	0.02	33200	0	33200	0.02	0	0.02	0	0.00	0	33200
Sub Total (A)(1)		12	96210882	0	0	96210882	62.80	96210882	0	96210882	62.80	0	62.80	0	0.00	0	96210882
2 Foreign																	
Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
(e) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		12	96210882	0	0	96210882	62.80	96210882	0	96210882	62.80	0	62.80	0	0.00	0	96210882

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. : Nil

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or	Number of equity shares held in dematerialised form		
								No of Voting Rights								Total as a % of (A+B+C)	
								Class eg: X	Class eg: Y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)		
1 Institutions																	
(a) Mutual Fund		4	5460777	0	0	5460777	3.56	5460777	0	5460777	3.56	0	3.56	0	0.00	NA	5460777
Reliance Capital Trustee Co Ltd./C Reliance Value Fund	AAATR0090B	1	3014175	0	0	3014175	1.97	3014175	0	3014175	1.97	0	1.97	0	0.00	NA	3014175
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
Alternate Investment Funds		4	1003299	0	0	1003299	0.65	1003299	0	1003299	0.65	0	0.65	0	0.00	NA	1003299
(c) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d) Foreign Portfolio Investor		155	42736904	0	0	42736904	27.90	42736904	0	42736904	27.90	0	27.90	0	0.00	NA	42736904
Nordea 1 Sicav - Emerging Stars Equity Fund	AACCM4419K		8896334	0	0	8896334	5.81	8896334	0	8896334	5.81	0	5.81	0	0.00	NA	8896334
Fidelity Investment Trust Fidelity Series Emerging Markets Fund	AAATF2631A		3569542	0	0	3569542	2.33	3569542	0	3569542	2.33	0	2.33	0	0.00	NA	3569542
Schroder International Selection Fund Emerging Asia	AAACS4854K		2113531	0	0	2113531	1.38	2113531	0	2113531	1.38	0	1.38	0	0.00	NA	2113531
Vaneck Funds - Emerging Markets Fund	AAATV5835E		1973200	0	0	1973200	1.29	1973200	0	1973200	1.29	0	1.29	0	0.00	NA	1973200
Nordea Far East Fund	AAAAAN7659R		1628286	0	0	1628286	1.06	1628286	0	1628286	1.06	0	1.06	0	0.00	NA	1628286
(f) Financial Institutions / Banks		3	5830	0	0	5830	0.00	5830	0	5830	0.00	0	0.00	0	0.00	NA	5830
(g) Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(i) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
Sub Total (B)[1]		166	49206810	0	0	49206810	32.12	49206810	0	49206810	32.12	0	32.12	0	0.00	NA	49206810
2 Central Government/ State Government(s)/ President of India																	
Central Government / State Government(s)		0	0	0.00	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0.00	0
Sub Total (B)[2]		0	0	0.00	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0.00	0
3 Non-Institutions																	
(a) Individuals																	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		12352	3856753	0	0	3856753	2.52	3856753	0	3856753	2.52	0	2.52	0	0.00	NA	3415732
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	176750	0	0	176750	0.12	176750	0	176750	0.12	0	0.12	0	0.00	NA	176750
(b) NBFCs registered with RBI		2	1195	0	0	1195	0.00	1195	0	1195	0.00	0	0.00	0	0.00	NA	1195
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e) Any Other (Specify)		953	3740961	0	0	3740961	2.44	3740961	0	3740961	2.44	0	2.44	0	0.00	NA	3736211
Investor Education and Protection Fund		1	786393	0	0	786393	0.51	786393	0	786393	0.51	0	0.51	0	0.00	NA	786393
Trusts		3	26359	0	0	26359	0.02	26359	0	26359	0.02	0	0.02	0	0.00	NA	26359
Hindu Undivided Family		247	73286	0	0	73286	0.05	73286	0	73286	0.05	0	0.05	0	0.00	NA	73286
Foreign Companies		1	50000	0	0	50000	0.03	50000	0	50000	0.03	0	0.03	0	0.00	NA	50000
Non Resident Indians (Non Repat)		128	118069	0	0	118069	0.08	118069	0	118069	0.08	0	0.08	0	0.00	NA	118069
Directors & Relatives		2	79700	0	0	79700	0.05	79700	0	79700	0.05	0	0.05	0	0.00	NA	79700
Non Resident Indians (Repat)		238	68301	0	0	68301	0.04	68301	0	68301	0.04	0	0.04	0	0.00	NA	68301
Clearing Member		70	19474	0	0	19474	0.01	19474	0	19474	0.01	0	0.01	0	0.00	NA	19474
Bodies Corporate		263	2519379	0	0	2519379	1.64	2519379	0	2519379	1.64	0	1.64	0	0.00	NA	2514629
Sub Total (B)[3]		13308	7775659	0	0	7775659	5.07	7775659	0	7775659	5.07	0	5.07	0	0.00	NA	7329888
Total Public Shareholding (B) = (B)[1]+(B)[2]+(B)[3]		13474	56982469	0	0	56982469	37.20	56982469	0	56982469	37.20	0	37.20	0	0.00	NA	56536698

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Nil

*Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	Outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
501	712200

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons-Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held in dematerialised form	
								No of Voting Rights	Total as a % of (A+B+C)	Class			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
																		eg:
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available