



# Punjab Alkalies & Chemicals Limited

Regd. Office : S.C.O. 125-127, Sector 17-B, Chandigarh - 160 017 (INDIA)  
Phone : 0172-4072508-569, E- mail : info@punjabalkalies.com Fax : 0172-2704797  
CIN : L24119CH1975PLC003607, Website : www.punjabalkalies.com



PACL:SEC:2021: 1377

23.06.2021

BSE Limited,  
1st Floor, New Trading Ring,  
Rotunda Building,  
P.J. Towers, Dalal Street, Fort,  
**MUMBAI-400 001.**

**Scrip Code No. 506852 (PACL)**

**Sub.: Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2021.**

Dear Sir,

In compliance with SEBI Circular No. CIR/CFD/CMD/27/2019 dated February 8, 2019, please find enclosed copy of Annual Secretarial Compliance Report dated 23.06.2021 issued by M/s A. Arora & Co., Practicing Company Secretary for the financial year 2020-21.

Thanking you,

Yours faithfully,  
For PUNJAB ALKALIES & CHEMICALS LIMITED

  
COMPANY SECRETARY

Encl: As above.

**Secretarial compliance report of Punjab Alkalies and Chemicals Limited  
for the year ended March 31, 2021**

To,

Punjab Alkalies and Chemicals Limited,  
S.C.O. 125-127, Sector 17-B,  
Chandigarh.

We, A. Arora & Co. have examined:

- (a) all the documents and records made available to us and explanation provided by **Punjab Alkalies and Chemicals Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts
- (c) (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018: *Not applicable as the company has not issued any securities during the financial year under review.*
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018: *Not applicable as the company has not bought back any of its securities during the financial year under review.*
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014: *Not Applicable as the company has not provided any share based benefits to the employees during the year.*
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008: *Not applicable as the company has not issued any debt securities during the financial year under review.*
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013: *Not applicable as the company has not issued any such securities during the financial year under review.*
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018
- (j) Securities and Exchange Board of India (Issue of Sweat Equity) Regulations, 2002

and circulars/ guidelines issued thereunder, and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous	Observations made in the secretarial	Actions taken by the listed entity, if any	Comments of the Practicing Company
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	reports	compliance report for the year ended... (The years are to be mentioned)		Secretary on the actions taken by the listed entity
N.A.				

**Place:** Chandigarh

**Date:** 23.06.2021

UDIN: F002191C000500986

For A. Arora & Co.



Ajay K. Arora  
(Proprietor)

FCS No.: 2191

C P No.: 993